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AUG 1 8 2004

OFFICE OF PETITIONS

In re Application of

Kenji Tsukada

Application No. 10/601,200

Filed: June 23, 2003

Attorney Docket No: Q76217

ON PETITION

This is a decision on the petition filed May 25, 2004, under 37 CFR 1.137(b)¹ to revive the above-identified application.

The petition is **DISMISSED**.

The application became abandoned on October 14, 2003 for failure to file a timely response to the Notice to File Missing Parts mailed August 13, 2003, which set a two (2) month statutory period for reply. This decision precedes the mailing of the Notice of Abandonment.

A grantable petition to revive an abandoned application under 37 CFR 1.137(a) <u>must</u> be accompanied by:

(1) the required reply, unless previously filed. In a nonprovisional application abandoned for failure to prosecute, the required reply may be met by the filing of a continuing application. In a nonprovisional application filed on or after June 8, 1995, and abandoned for failure to prosecute, the required reply may also be

¹A grantable petition under 37 CFR 1.137(a) must be accompanied by:

⁽¹⁾ the required reply, unless previously filed; In a nonprovisional application abandoned for failure to prosecute, the required reply may be met by the filing of a continuing application. In a nonprovisional utility or plant application filed on or after June 8, 1995, and abandoned for failure to prosecute, the required reply may also be met by the filing of a request for continued examination in compliance with § 1.114. In an application or patent, abandoned or lapsed for failure to pay the issue fee or any portion thereof, the required reply must be the payment of the issue fee or any outstanding balance thereof.

⁽²⁾ the petition fee as set forth in 37 CFR 1.17(I);

⁽³⁾ a showing to the satisfaction of the Commissioner that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(a) was unavoidable; and (4) any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required pursuant to 37 CFR 1.137(c)).

met by the filing of a request for continued examination in compliance with § 1.114. In an application or patent, abandoned or lapsed for failure to pay the issue fee or any portion thereof, the required reply must be the payment of the issue fee or any outstanding balance thereof;

- (2) the petition fee as set forth in § 1.17(I);
- (3) a showing to the satisfaction of the Commissioner that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this paragraph was unavoidable; and
- (4) any terminal disclaimer (and fee as set forth in § 1.20(d)) required pursuant to paragraph ©) of this section.

This petition lacks item (1) above.

Petitioner has not provided the required reply. Petitioner must provide a response to the Notice to File Missing Parts. Although petitioner asserts that the Notice to File Missing Parts mailed August 13, 2003, was never received, a review of the record indicates no irregularity in the mailing of the Office action, and in the absence of any irregularity in the mailing, there is a strong presumption that the Office action was properly mailed to the address of record. This presumption may be overcome by a showing that the Office action was not in fact received. The showing required to establish the failure to receive an Office action must include a statement from the practitioner stating that the Office communication was not received by the practitioner and attesting to the fact that a search of the file jacket and docket records indicates that the Office communication was not received. A copy of the docket record where the non-received Office communication would have been entered had it been received and docketed must be attached to and referenced in practitioner's statement.² The showing outlined above may not be sufficient if there are circumstances that point to a conclusion that the Office action may have been lost after receipt rather than a conclusion that the Office action was lost in the mail (e.g. if the practitioner has a history of not receiving Office actions).

What is usually required is a copy of the docket record where the non-received Office communication would have been entered had it been received and docketed.

The application will not be revived unless and until a proper response to the Notice to File Missing Parts is provided or evidence is presented for withdrawing the holding of abandonment, that would indicate that the Notice was not received.

²M.P.E.P. § 711.03©); <u>See</u> Notice entitled "Withdrawing the Holding of Abandonment When Office Actions Are Not Received," 1156 O.G. 53 (November 16, 1993).

As the required showing has not been presented, the petition must be dismissed.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

By FAX:

(703) 872-9306

Telephone inquiries concerning this matter may be directed to the undersigned Petitions Attorney at (703)305-4497. Please be advised that the telephone number for the Office of Petitions will be changed to (571) 272-3282 effective September 28, 2004.

Patricia Faison-Baìl

Senior Petitions Attorney

Office of Petitions